

Catherine E. Moreno

PARTNER EMERITUS

Litigation



FOCUS AREAS

Arbitration
Board and Internal
Investigations
Commercial Litigation
Corporate Governance
Litigation
FCPA and Anti-Corruption
Government Investigations
Litigation
Securities Litigation
White Collar Crime

HIGHLIGHTS

- **Broad Litigation and Investigations Experience**
Catherine is an experienced litigator who represented companies and their officers and directors in dozens of securities class actions, derivative lawsuits, internal investigations, and SEC investigations. She regularly counseled companies on matters of corporate governance and fiduciary duties.
- **Recognized as a Top Practitioner Among Peers**
Catherine was honored as one of the "40 Under 40 Class of 2016" leaders by the *Silicon Valley Business Journal*.

EXPERIENCE

Catherine Moreno retired as a partner from Wilson Sonsini Goodrich & Rosati in 2024. Catherine specialized in securities litigation and counseling, including the defense of companies and their directors and officers in class actions, derivative lawsuits, and M&A litigation. She also focused on internal investigations and in defending companies and individuals in SEC enforcement proceedings involving allegations of insider trading, securities fraud, and reporting violations. In addition, Catherine brought to her practice experience in a variety of commercial litigation matters and has been a frequent speaker and lecturer on issues of securities fraud, accounting misstatements, and insider trading.

CREDENTIALS

Education

- J.D., Columbia Law School, 2001
Editor, Columbia Journal of International Law
- B.A., Rice University, 1998

Associations and Memberships

- Member, Association of the Bar of the City of New York
- Mexican American Bar Association

Honors

- Honored as one of the "40 Under 40 Class of 2016" leaders by the *Silicon Valley Business Journal*
- Honored with a 2015 "California Lawyer Attorney of the Year" (CLAY) Award by *California Lawyer* in the immigration/pro bono category
- Recipient, Jack W. Londen Award, Lawyers' Committee for Civil Rights of the San Francisco Bay Area, 2013

MATTERS

Matters

Securities Class Actions

- Represented USANA Health Sciences, Inc. in a securities class action lawsuit related to the company's China operations. The case was dismissed with prejudice.
- Represented Netgear, Inc. in multiple securities class actions filed in California state court and federal court.
- Represented SanDisk LLC and its former officers in a securities class action lawsuit related to the company's enterprise division.
- Represented Pacific Biosciences of California, Inc. in connection with securities class action lawsuits in state and federal court related to the company's initial public offering.

Derivative Actions

- Represented Inogen, Inc. in connection with multiple shareholder derivative suits related to statements concerning the Company's growth prospects.
- Represented TrueCar, Inc. and its officers and directors in connection with multiple derivative suits in state and federal court concerning the company's secondary offering.

Merger Litigation

- Represented Astex Pharmaceuticals, Inc. in merger litigation in California state court related to the company's acquisition.
- Represented Marketo, Inc. in merger litigation related to the company's acquisition filed in federal court. Plaintiffs' request for an injunction against the transaction was denied.
- Represented e2Open, Inc. in merger litigation related to the company's acquisition.
- Represented BigBand Networks in merger litigation related to the company's acquisition.

SEC and Internal Investigations

- Conducted an internal investigation for a large multinational corporation concerning employee expenses and related accounting matters.
- Conducted an internal investigation for the audit committee of a technology company concerning accounting and revenue recognition issues.
- Conducted an internal investigation for the board of directors of a private company concerning the authenticity of financial records and related accounting matters.
- Conducted an internal investigation for a public company concerning potential insider trading.
- Counseled for a technology company in a SEC investigation regarding accounting issues.

CLIENTS

Select Clients

Catherine represented a wide range of clients, including:

- 3Com Corporation
- Astex Pharmaceuticals, Inc.
- Advanced Analogic Technologies, Inc.
- Aruba Networks, Inc.
- Barracuda Networks Inc.
- Bertelsmann, Inc.
- BigBand Networks
- Broadcom Corporation
- Goldman Sachs & Co.
- Infogroup, Inc.
- Inogen, Inc.
- Intermedia.net, Inc.
- Juniper Networks Inc.
- Linear Technology Corporation
- Marketo, Inc.
- MaxLinear, Inc.
- NetApp, Inc.
- Netgear, Inc.
- Oak Hill Capital Partners
- Pacific Biosciences of California, Inc.
- Rackspace, Inc.
- SanDisk LLC
- TIBCO Software
- TrueCar, Inc.
- USANA Health Sciences, Inc.

INSIGHTS

Select Publications

- Co-author with Amy Weaver, “Professionalism: Successful Partnering Between Inside and Outside Counsel,” *Thompson Reuters*, November 2018
- Practising Law Institute Pocket MBA Program: Securities Fraud and Earnings Management, 2013-2018
- 30th Annual SEC Reporting and FASB Forum: FCPA Risks in Emerging Markets
- Co-author with Elizabeth Peterson, “The Expanding Territorial Reach of RICO: It’s Not Just for U.S.-Based Organized Crime Anymore,” *Bloomberg Law Reports*, May 25, 2010

Select Speaking Engagements

- “From Tiny to Growth - Checklist for Corporate Compliance,” ACC San Francisco Bay Area Chapter, 2022 Life Sciences: At Home & Across the Globe, May 13, 2022
- “Preventative Medicine for Biotech Boards: Lessons Learned from Life Sciences Securities Litigation,” ACC San Francisco Bay Area Chapter, Life Sciences 2021 – The Road Ahead, May 14, 2021